

FAIR POLITICAL PRACTICES COMMISSION
STIPULATION, DECISION AND ORDER
Major Donor and Late Contribution Report Violations
(Streamlined Program)

Complainant, the Fair Political Practices Commission, and Respondent(s) hereby agree that this stipulation will be presented to the Commission at its next regularly scheduled meeting, or as soon thereafter as the matter can be heard, as a final disposition of the reporting violation(s) described herein.

FPPC CASE NO. 14/1323

RESPONDENT(S): Humboldt Redwood Company LLC and its Affiliate Mendocino Redwood Company LLC;
Alexander L. Dean
Scotia, California

GOVERNMENT CODE SECTION(S) VIOLATED: 84200/84203

DESCRIPTION OF VIOLATION(S): Respondent qualified as a Major Donor Committee but failed to timely file eight Major Donor Committee Statements (Form 461) and failed to file five Late Contribution Reports (Form 497), as described on the attached Exhibit.

MAJOR DONOR

PENALTY: \$5,019 **NUMBER OF COUNTS:** 8

LATE CONTRIBUTION

REPORTS PENALTY: \$3,300 **NUMBER OF COUNTS:** 5

STATEMENT BY RESPONDENT(S):

I acknowledge that the violation(s) of the Political Reform Act described above and on Exhibit I (attached) have occurred and voluntarily request that the Fair Political Practices Commission resolve this matter by imposition of the monetary penalty specified above. I acknowledge receipt of the *Statement of Respondent's Rights* and voluntarily waive any and all procedural rights to contest this matter in an administrative hearing. All outstanding reports, in connection with these violations, have now been filed. I have attached a **cashier's check or money order** made payable to the General Fund of the State of California in the amount of the penalty described above.

Dated: _____ X

Printed Name: ALEXANDER L. DEAN

STATEMENT BY ENFORCEMENT CHIEF:

I have reviewed the above stipulation and recommend its approval.

Dated: _____
GARY S. WINUK, ENFORCEMENT CHIEF

ORDER OF THE COMMISSION:

The foregoing stipulation has been adopted by a majority vote of the Fair Political Practices Commission as its final decision and order and is effective upon execution below by the Chair.

IT IS SO ORDERED.

Dated: _____
JOANN REMKE, CHAIR

***FAIR POLITICAL PRACTICES COMMISSION
STATEMENT OF RESPONDENT'S RIGHTS***

The Complainant, the Fair Political Practices Commission, and Respondent, both identified by name on the front of this document, hereby agree that this Stipulation, Decision and Order will be submitted for consideration by the Commission at its next regularly scheduled meeting.

The parties agree to enter into this Stipulation to resolve all factual and legal issues raised in this matter and to reach a final disposition without the necessity of holding an administrative hearing to determine the liability of Respondent.

Respondent understands and hereby knowingly and voluntarily waives any and all procedural rights under California Government Code sections 83115.5, 11500, *et seq.*, and 2 California Code of Regulations sections 18361.1 through 18361.9, including but not limited to the issuance and receipt of an accusation, and the right to appear personally and be represented by counsel at his or her own expense in any administrative hearing held in this matter, to confront and cross examine all witnesses testifying at the hearing, to subpoena witnesses to testify at the hearing, and to have an impartial administrative law judge present at the hearing to act as a hearing officer.

It is further stipulated and agreed that Respondent has violated the Political Reform Act as described herein.

Respondent agrees to the issuance of the Decision and Order and imposition by the Commission of a penalty in the amount specified on the Stipulation, and a cashier's check or money order in said amount, payable to the "General Fund of the State of California", has been submitted by Respondent to be held by the State of California until the Commission issues its Decision and Order.

The parties agree that in the event the Commission refuses to accept this Stipulation, it shall become null and void, and within fifteen (15) business days after the Commission meeting at which this Stipulation is rejected, payments tendered shall be reimbursed to Respondent. Respondent further stipulates and agrees that in the event the Commission rejects the Stipulation and a full evidentiary hearing before the Commission becomes necessary, neither a member of the Commission, nor the Executive Director, shall be disqualified because of prior consideration of this Stipulation.

MAJOR DONOR VIOLATIONS

Count 1:

January 1, 2010 – December 31, 2010

Date of Contribution	Recipient	Amount
January 10, 2010	Neely for Supervisor for 4 th District	\$1,000
February 17, 2010	Friends of Ryan Sundberg Humboldt County Supervisor 2010	\$1,000
February 17, 2010	Virginia Bass for 4 th District Supervisor	\$1,000
March 3, 2010	Linda Halderman for Assembly 2010	\$500
March 17, 2010	Wes Chesbro for Assembly 2010	\$1,000
April 2, 2010	Friends of Johanna Rodoni	\$500
May 10, 2010	Democratic State Central Committee of California	\$1,750
May 10, 2010*	Democratic State Central Committee of California	\$1,750
October 10, 2010	Virginia Bass for 4 th District Supervisor	\$1,000
October 29, 2010	Friends of Ryan Sundberg Humboldt County Supervisor 2010	\$1,000
*	<i>Denotes payment by Mendocino Redwood Company</i>	
Total Amount Contributed During Reporting Period		\$10,500
Total Number of Contributions Made During Reporting Period		10

Count 2:

January 1, 2011 – December 31, 2011

Date of Contribution	Recipient	Amount
March 2, 2011	Fiona Ma for Senate 2014	\$1,000
March 9, 2011	Lumber Association of California and Nevada PAC	\$1,050
April 27, 2011	Mendoza for Senate 2014	\$1,000
May 4, 2011	Taxpyers for Jim Nielsen	\$500
August 30, 2011	Linda Halderman for Assembly 2012	\$500
August 30, 2011	Wes Chesbro Assembly 2012	\$3,900
September 23, 2011*	Linda Halderman for Assembly 201	\$500
October 24, 2011*	Rich Gordon for Assembly 2012	\$1,000
October 24, 2011	Steinberg for Lieutenant Governor 2018	\$1,500
*	<i>Denotes payment by Mendocino Redwood Company</i>	
Total Amount Contributed During Reporting Period		\$10,950
Total Number of Contributions Made During Reporting Period		9

Count 3:
January 1, 2012 – June 30, 2012

Date of Contribution	Recipient	Amount
January 17, 2012*	Henry Perea for Assembly 2012	\$1,000
January 25, 2012*	California Forestry Assn Pac	\$6,500
March 6, 2012	Friends of Noreen Evans for State Senate	\$1,500
March 13, 2012	Estelle Fennel for Supervisor 2012	\$500
March 13, 2012	Rex Bohn for Supervisor 2012	\$500
March 27, 2012	Lumber Assn of California and Nevada PAC	\$700
March 30, 2012	Friends of Noreen Evans for State Senate	\$250
March 30, 2012	Lumber Assn of California and Nevada PAC	\$175
April 9, 2012	Democratic State Central Committee of California	\$10,000
April 23, 2012*	Re-Elect Ben Hueso for Assembly 2012	\$1,000
April 23, 2012*	Rich Gordon for Assembly 2012	\$1,000
April 23, 2012	Wes Chesbro Assembly 2012	\$3,900
May 7, 2012	Jason Hodge for Senate 2012	\$1,000
June 1, 2012	Steinberg for Lieutenant Governor 2018	\$1,500
June 5, 2012	Clendenen for Supervisor	\$500
June 15, 2012	Bob Blumenfield for Assembly 2012	\$1,250
June 15, 2012	Ricardo Lara for Senate 2012	\$1,250
June 27, 2012	Tom Harman for Board of Equalization 2014	\$1,500
June 28, 2012	Padilla for Secretary of State 2014	\$3,900
*	<i>Denotes payment by Mendocino Redwood Company</i>	
Total Amount Contributed During Reporting Period		\$37,925
Total Number of Contributions Made During Reporting Period		19

Count 4:
July 1, 2012 – December 31, 2012

Date of Contribution	Recipient	Amount
July 10, 2012*	Bill Berryhill for Senate 2012	\$1,500
July 11, 2012	Bill Monning for Senate 2012	\$1,250
July 17, 2012	Kevin De Leon for Senate 2014	\$1,250
July 27, 2012	Friends of Frank Bigelow for Assembly 2012	\$2,000
July 27, 2012	Mark Leno for Senate 2012	\$1,250
August 9, 2012	Friends of Noreen Evans for State Senate	\$3,900
August 10, 2012	Humboldt County Democratic Central Committee	\$1,000
August 22, 2012	Olsen for Assembly 2012	\$1,500
August 24, 2012	Democratic State Central Committee of California	\$5,000
August 24, 2012	Kevin De Leon for Senate 2014	\$1,250
August 24, 2012	Tom Berryhill for Senate 2014	\$1,500
August 24, 2012	Tom Harman for Board of Equalization 2014	\$1,500
September 28, 2012	Ted Lieu for Senate 2014	\$1,250
October 5, 2012	Tom Harman for Board of Equalization 2014	\$2,500
October 18, 2012	Kevin De Leon for Senate 2014	\$1,000
October 18, 2012	Mary Hayashi for Senate 2014	\$1,000
October 18, 2012	Steinberg for Lieutenant Governor 2018	\$1,500
October 19, 2012	Assemblyman Fletcher 2010	\$3,200
October 19, 2012	Democratic State Central Committee for California	\$5,000
October 19, 2012	Skinner for Assembly 2012	\$1,000
October 23, 2012	Hayashi for Supervisor 2012	\$500
November 9, 2012	Democratic State Central Committee of California	\$1,100
November 16, 2012	Friends of Ryan Sundberg for Supervisor 2014	\$500
November 16, 2012	Virginia Bass for 4 th District Supervisor	\$500
December 4, 2012	Democratic State Central Committee of California	\$3,900
December 14, 2012	Dahle for Assembly 2012	\$500
*	<i>Denotes payment by Mendocino Redwood Company</i>	
Total Amount Contributed During Reporting Period		\$46,350
Total Number of Contributions Made During Reporting Period		26

Count 5:
January 1, 2013 – June 30, 2013

Date of Contribution	Recipient	Amount
January 25, 2013	Rich Gordon for State Assembly 2014	\$1,500
March 29, 2013	Roger Hernandez for Assembly 2014	\$1,500
April 16, 2013	Lara for Senate 2016	\$1,500
April 16, 2013	Ted Lieu for Senate 2014	\$1,500
April 19, 2013	Adam Gray for Assembly 2014	\$500
April 19, 2013	Bill Emerson Senate 2012 Officeholder	\$1,500
April 19, 2013	Cathleen Galgiani for Senate 2016	\$500
April 19, 2013	Dr. Richard Pan for Senate 2014	\$500
April 19, 2013	Eggman for Assembly 2014	\$500
April 19, 2013	Hall for Senate 2016	\$500
April 19, 2013	Ian Calderon for Assembly 2012	\$500
April 19, 2013	Jose Medina for Assembly 2014	\$500
April 19, 2013	Lou Correa for Board of Equalization 2014	\$500
April 19, 2013	Muratsuchi for Assembly 2014	\$500
April 19, 2013	Perea for Assembly 2014	\$500
April 19, 2013	Sharon Quirk-Silva Democrat for Assembly 2014	\$500
April 19, 2013	Steve Fox for Assembly 2014	\$500
April 19, 2013	Susan Bonilla for Assembly 2014	\$500
April 19, 2013	Tom Daly for Assembly 2014	\$500
April 19, 2013	V. Manuel Perez for Supervisor 2014	\$500
May 7, 2013	Friends of Jimmy Gomez for Assembly 2014	\$1,500
May 31, 2013	Bob Blumenfield for Assembly 2012	\$1,500
May 31, 2013	Das Williams for Assembly 2014	\$1,500
May 31, 2013	John A. Perez for Lieutenant Governor	\$2,500
May 31, 2013	Mike Gatto for Assembly 2014	\$1,500
June 4, 2013	Mark Leno for Lt Governor 2018	\$1,500
June 4, 2013	Padilla for Secretary of State 2014	\$2,500
June 30, 2013	Virginia Bass for 4 th District Supervisor	\$500
Total Amount Contributed During Reporting Period		\$28,000
Total Number of Contributions Made During Reporting Period		28

Count 6:
July 1, 2013 – September 30, 2013

Date of Contribution	Recipient	Amount
July 15, 2013	Democratic State Central Committee of California	\$10,000
July 19, 2013	Bob Wieckowski for Senate 2014	\$2,000
July 26, 2013	Kevin De Leon for Senate 2014	\$600
July 26, 2013	Kevin De Leon for Senate 2014	\$900
July 26, 2013	Steinberg for Lieutenant Governor 2018	\$1,500
July 26, 2013	Toni Atkins for State Assembly 2014	\$1,500
July 28, 2013	Fiona Ma for Board of Equalization 2014	\$2,500
August 13, 2013	Board of Equalization Member Horton 2014	\$1,000
August 13, 2013	Garcia for Assembly 2014	\$1,500
August 27, 2013	Brian Dahle for Assembly 2014	\$1,500
September 20, 2013	Alejo for Assembly 2014	\$500
Total Amount Contributed During Reporting Period		\$23,500
Total Number of Contributions Made During Reporting Period		11

Count 7:
October 1, 2013 – December 31, 2013

Date of Contribution	Recipient	Amount
October 1, 2013	Perea for Assembly 2014	\$1,500
October 1, 2013	Rich Gordon for State Assembly 2014	\$1,500
October 1, 2013	Wes Chesbro Assembly 2012 Officeholder Account	\$1,500
October 22, 2013	Fiona Ma for Board of Equalization 2014	\$500
December 10, 2013	John A. Perez for Lieutenant Governor	\$2,500
Total Amount Contributed During Reporting Period		\$7,500
Total Number of Contributions Made During Reporting Period		5

Count 8:
January 1, 2014 – June 30, 2014

Date of Contribution	Recipient	Amount
January 2, 2014	Friends of Ryan Sundberg for Supervisor	\$1,000
January 2, 2014	Patterson for Assembly 2014	\$1,000
January 21, 2014	Jim Wood for Assembly 2014	\$1,500
March 24, 2014	Cheryl R. Brown for Assembly 2014	\$500
March 24, 2014	Dr. Richard Pan for Senate 2014	\$500
March 24, 2014	Eggman for Assembly 2014	\$500
March 24, 2014	Elan Firpo for District Attorney 2014	\$500
March 24, 2014	Friends of Mark Lovelace for Supervisor 2012	\$500
March 24, 2014	Jim Frazier for Assembly 2014	\$500
March 24, 2014	Matt Dababneh for Assembly 2014	\$500
March 24, 2014	Perea for Assembly 2014	\$500
March 24, 2014	Re-Elect Ken Cooley for Assembly 2014	\$500
March 24, 2014	Rodriguez for Assembly 2014	\$500
March 24, 2014	Rudy Salas for Assembly 2014	\$500
March 24, 2014	Sharon Quirk-Silva Democrat for Assembly	\$500
March 24, 2014	Steve Fox for Assembly 2014	\$500
March 24, 2014	Susan Bonilla for Assembly 2014	\$500
March 24, 2014	Tom Daly for Assembly 2014	\$500
March 24, 2014	Wes Chesbro Assembly 2012 Officeholder Account	\$1,000
March 28, 2014	Pavley for Senate 2012 Officeholder Account	\$1,000
April 1, 2014	Brian Jones for Assembly 2014	\$1,000
April 1, 2014	Canella for Senate 2014	\$1,500
April 1, 2014	Friends of Marc Levine for Assembly 2014	\$1,500
April 1, 2014	Kevin De Leon for Senate 2014	\$1,500
April 1, 2014	Mike Gatto for Assembly 2014	\$1,500
April 14, 2014	Mike McGuire for Senate 2014	\$1,000
April 15, 2014	California Republican Party	\$7,500
May 19, 2014	Tom Berryhill for Senate 2014	\$2,500
June 2, 2014	Friends of Frank Bigelow for Assembly	\$1,500
June 2, 2014	Friends of Jimmy Gomez for Assembly 2014	\$1,500
June 2, 2014	Shannon Grove for Assembly 2014	\$1,000
June 13, 2014	Hal Wagenet 4 Supervisor 2014	\$500
June 26, 2014	Mike McGuire for Senate 2014	\$216
Total Amount Contributed During Reporting Period		\$35,716
Total Number of Contributions Made During Reporting Period		33

Penalty Information and Major Donor Penalty Calculator:

☒	Filer has opted for a streamline fine	
☐	Filer has a prior history of Enforcement action	
☐	Other: Amount of unreported contributions significant.	
☒	Non-filer Base Penalty (\$400 per count): 8 x \$400	\$ 3,200
☐	Second Notice Enhancement (\$400 per count):	\$
☐	1% Enhancement for \$50,000 or more in contributions made during reporting period(s):	\$
☒	1% Enhancement for 10 or more contributions made during reporting period(s):	
	Count 1: \$105	
	Count 3: \$379	
	Count 4: \$463	
	Count 5: \$280	
	Count 6: \$235	
	Count 8: \$357	\$1,819
	Total Fine:	\$5,019

LATE CONTRIBUTION REPORT VIOLATIONS

Count	Date of Contribution	Date of Election	Recipient/Donor	Amount
1	4.1.2014	6.3.2014	Brian Jones for Assembly 2014	\$1,000
	4.1.2014	6.3.2014	Canella for Senate 2014	\$1,500
	4.1.2014	6.3.2014	Friends of Marc Levine for Assy 2014	\$1,500
	4.1.2014	6.3.2014	Kevin De Leon for Senate 2014	\$1,500
	4.1.2014	6.3.2014	Mike Gatto for Assy 2014	\$1,500
Total Amount of Unreported Late Contributions				\$7,000

Count	Date of Contribution	Date of Election	Recipient/Donor	Amount
2	4.14.2014	6.3.2014	Mike McGuire for Senate 2014	\$1,000
Total Amount of Unreported Late Contributions				\$1,000

Count	Date of Contribution	Date of Election	Recipient/Donor	Amount
3	4.15.2014	6.3.2014	California Republican Party	\$7,500
Total Amount of Unreported Late Contributions				\$7,500

Count	Date of Contribution	Date of Election	Recipient/Donor	Amount
4	5.19.2014	6.3.2014	Tom Berryhill for Senate 2014	\$2,500
Total Amount of Unreported Late Contributions				\$2,500

Count	Date of Contribution	Date of Election	Recipient/Donor	Amount
5	6.2.2014	6.3.2014	Friends of Frank Bigelow for Assy 2014	\$1,500
	6.2.2014	6.3.2014	Friends of Jimmy Gomez for Assy 2014	\$1,500
	6.2.2014	6.3.2014	Shannon Grove for Assy 2014	\$1,000
Total Amount of Unreported Late Contributions				\$4,000

Penalty Information

- ☒ Filer opted for streamline fine
☐ Has a prior history of Enforcement action
☐ Other: _____

Late Contribution Report Penalty Calculator:

- ☒ 15% of unreported contributions (up to maximum of \$5,000 per count):

Count 1: \$1,050

Count 2: \$ 150

Count 3: \$1,125

Count 4: \$ 375

Count 5: \$ 600

Total Fine: **\$3,300**